## Formal Recommendation From: National Organic Standards Board (NOSB) To: the National Organic Program (NOP)

Date:	October 17, 2012			
Subject:	Petition to add L-carnitine to	§205.605 (b) for infar	t formula	
Chair:	Barry Flamm			
Rulemak Guidand Other:	e Statement:	ion Failed	ng:	
Stateme	ent of Recommendation: (N	1otion # 1)	Passed	
Rationa	le Supporting Recommenda	ation (including con	sistency with OFPA and	NOP):
	ne occurs naturally in food, bu			
	tee Vote:			
	Zea Sonnabend			
	Tracy Favre			
Yes:	15 No: 0	Abstain: 0		e 1 revised 10/12 ma

Statement	of Recommendation: (N	lotion # 2)	Failed
Motion to a formula on		.5-1] as petitioned to the Nationa	al List 205.605 (b)for use in infant
Rationale S	Supporting Recommenda	ation (including consistency w	ith OFPA and NOP):
L-Carnitine formula.	[CAS #541-15-1] is not dee	emed essential by FDA regulation	s for the fortification of infant
Committee	· Vote:		
Moved:	Zea Sonnabend		
Seconded:	Colehour Bondera		

Abstain: 0

Absent: 0

No: 9

Yes: 6

Recuse: 0

# National Organic Standards Board Handling Subcommittee Petitioned Material Proposal L-Carnitine

June 19, 2012

### **Summary of Proposed Action:**

L-Carnitine is a compound that is synthesized in the body from the amino acids lysine and methionine. These amino acids are abundant in foods such as beans, avocado and red meat. The synthetic form has been petitioned for use in infant formula because soybased formulas contain very low levels of carnitine, and infants are less able to synthesize carnitine for themselves. Cow's milk formulas also can be low in carnitine because the milk is diluted in the formula.

Unlike some other ingredients petitioned for infant formula, carnitine is not required under the FDA in 21 CFR 104.20, 107.100 or 107.10 as clarified in the NOP proposed rule on Nutrient Vitamins and Minerals. Also it appears that carnitine would be feasible to make or extract from non-synthetic sources, although that is not commercially done at this time. For these reasons the Handling Sub-committee is not recommending to add synthetic L-carnitine to the National List.

#### **Evaluation Criteria** (Applicability noted for each category; Documentation attached) Criteria Satisfied? (see "B" below) 1. Impact on Humans and Environment X Yes No □ N/A 2. Essential & Availability Criteria ☐ Yes X No □ N/A 3. Compatibility & Consistency ☐ Yes X □ N/A 4. Commercial Supply is Fragile or Potentially Unavailable ☐ Yes ☐ No $X \square N/A$ as Organic (only for § 205.606) **Substance Fails Criteria Category:** [2] **Comments:** This substance is not deemed to be essential by FDA regulations for the fortification of infant formula. **Proposed Annotation (if any): Basis for annotation:** $\Box$ To meet criteria above $\Box$ Other regulatory criteria $\Box$ Citation Notes:

**Recommended Committee Action & Vote**, including classification recommendation (state actual motion):

**Classification Motion**: L-Carnitine [CAS #541-15-1] as petitioned is synthetic.

Motion by: Zea Sonnebend Seconded by: Harold Austin

Yes: # 5 No: # 0 Absent: # 1 Abstain: # 0 Recuse: #0

**Listing Motion**: To add L-Carnitine [CAS #541-15-1] to the National List 205.605

(b)--for use in infant formula only.

Motion by: John Foster Seconded by: Harold Austin Yes: # 2 No: # 3 Absent: # 1 Abstain: # 0 Recuse: #0

Crops		Agricultural		Allowed <sup>1</sup>	
Livestock		Non-synthetic		Prohibited <sup>2</sup>	
Handling	Х	Synthetic	X	Rejected <sup>3</sup>	Х
No restriction		Commercial unavailable as		Deferred <sup>4</sup>	
		organic			

<sup>&</sup>lt;sup>1</sup>Substance voted to be added as "allowed" on National List to § 205. with Annotation (if any):

Describe why a prohibited substance:

### **Approved by Committee Chair to Transmit to NOSB**

John Foster, Committee Chair

June 19, 2012

#### **NOSB Evaluation Criteria for Substances Added To the National List**

### Category 1. Adverse impacts on humans or the environment? Substance: L-Carnitine

Question	Yes	No	N/A <sup>1</sup>	Documentation (TAP; petition; regulatory agency; other)
Are there adverse effects on environment from manufacture, use, or disposal? [§205.600 b.2]		X		
2. Is there environmental contamination during manufacture,		X		

<sup>&</sup>lt;sup>2</sup>Substance to be added as "prohibited" on National List to § 205. with Annotation (if any):

<sup>&</sup>lt;sup>3</sup>Substance was rejected by vote for amending National List to § 205. Describe why material was rejected: It appears that carnitine would be feasible to make or extract from non-synthetic sources, although that is not commercially done at this time.

		1		
	use, misuse, or disposal? [§6518			
	m.3]			
3.	Is the substance harmful to the		X	
	environment and biodiversity?			
	[§6517c(1)(A)(i);6517(c)(2)(A)i]			
4.	Does the substance contain List 1,		Χ	
	2 or 3 inerts? [§6517 c (1)(B)(ii);			
	205.601(m)2]			
5.	Is there potential for detrimental		Χ	
	chemical interaction with other			
	materials used?			
	[§6518 m.1]			
6.	Are there adverse biological and		Х	
	chemical interactions in agro-			
	ecosystem? [§6518 m.5]			
7.	Are there detrimental physiological		Х	
	effects on soil organisms, crops, or			
	livestock? [§6518 m.5]			
8.	Is there a toxic or other adverse		Х	
	action of the material or its			
	breakdown products?			
	[§6518 m.2]			
9.	Is there undesirable persistence or		X	
	concentration of the material or			
	breakdown products in			
	environment? [§6518 m.2]			
10	Are there any harmful effects on		X	
	human health? [§6517 c (1)(A)(i);		``	
	6517 c(2)(A)i; §6518 m.4]			
11	Is there an adverse effect on		X	
' '	human health as defined by			
	applicable Federal regulations?			
	[205.600 b.3]			
12	Is the substance GRAS when used	X		may be self identified. See TR
	according to FDA's good			Evaluation question #4 (lines 350
	manufacturing practices?			- 363)
	[§205.600 b.5]			
13	Does the substance contain		Х	
'	residues of heavy metals or other			
	contaminants in excess of FDA			
	tolerances? [§205.600 b.5]			
<u> </u>	10101411003: [3200.000 D.0]	1		

<sup>&</sup>lt;sup>1</sup>If the substance under review is for crops or livestock production, all of the questions from 205.600 (b) are N/A—not applicable.

### **NOSB Evaluation Criteria for Substances Added To the National List**

### Category 2. Is the Substance Essential for Organic Production? Substance: L-Carnitine

	Question	Yes	No	N/A <sup>1</sup>	Documentation (TAP; petition; regulatory agency; other)
1.	Is the substance formulated or manufactured by a chemical process? [6502 (21)]	X			while there are non-synthetic ways to manufacture it, most in use for supplementation is synthesized from epichlorhydrine or trimethlamine. (TR lines 285-287)
2.	Is the substance formulated or manufactured by a process that chemically changes a substance extracted from naturally occurring plant, animal, or mineral, sources? [6502 (21)]		X		
3.	Is the substance created by naturally occurring biological processes? [6502 (21)]	X	X		it can be produced by "biosynthetic or fermentative methods" (TR lines 294-295) but it is not clear if these would be considered nonsynthetic. It appears from the TR discussion for Evaluation questions #1 and #2, that non-synthetic production would be possible but is not commercially done in the US at this time.
4.	Is there a natural source of the substance? [§205.600 b.1]	Х			abundant in food and human breast milk.
5.	Is there an organic substitute? [§205.600 b.1]	Х			organic food
6.	Is the substance essential for handling of organically produced agricultural products? [§205.600 b.6]		X		
7.	Is there a wholly natural substitute product? [§6517 c (1)(A)(ii)]	Х			human breast milk
8.	Is the substance used in handling, not synthetic, but not organically produced? [§6517 c (1)(B)(iii)]		X		
9.	Is there any alternative	Χ			human breast milk

substances? [§6518 m.6]			
10. Is there another practice that	X		breast feeding
would make the substance			
unnecessary? [§6518 m.6]			

<sup>&</sup>lt;sup>1</sup>If the substance under review is for crops or livestock production, all of the questions from 205.600 (b) are N/A—not applicable.

### **NOSB Evaluation Criteria for Substances Added To the National List**

### Category 3. Is the substance compatible with organic production practices? Substance: L-Carnitine

	Question	Yes	No	N/A <sup>1</sup>	Documentation (TAP; petition; regulatory agency; other)
1.	Is the substance compatible with organic handling? [§205.600 b.2]		X		since the substance could be obtained from organic foods, the synthetic fortification is not compatible with organic handling.
2.	Is the substance consistent with organic farming and handling? [§6517 c (1)(A)(iii); 6517 c (2)(A)(ii)]		X		
3.	Is the substance compatible with a system of sustainable agriculture? [§6518 m.7]		X		
4.	Is the nutritional quality of the food maintained with the substance? [§205.600 b.3]	X			
5.	Is the primary use as a preservative? [§205.600 b.4]		X		
6.	Is the primary use to recreate or improve flavors, colors, textures, or nutritive values lost in processing (except when required by law, e.g., vitamin D in milk)? [205.600 b.4]		X		
7.	Is the substance used in production, and does it contain an active synthetic ingredient in the following categories:  a. copper and sulfur compounds;			X	
	b. toxins derived from bacteria;			Χ	
	c. pheromones, soaps, horticultural oils, fish emulsions, treated seed, vitamins and minerals?			Х	
	d. livestock parasiticides and medicines?			Х	
	e. production aids including netting, tree wraps and seals,			X	

insect traps, sticky barriers,		
row covers, and equipment		
cleaners?		

<sup>&</sup>lt;sup>1</sup>If the substance under review is for crops or livestock production, all of the questions from 205.600 (b) are N/A—not applicable.

#### **NOSB Evaluation Criteria for Substances Added To the National List**

Category 4. Is the commercial supply of an agricultural substance as organic, fragile or potentially unavailable? [§6610, 6518, 6519, 205.2, 205.105 (d), 205.600 (c) 205.2, 205.105 (d), 205.600 (c)] Substance: Name

	Question	Yes	No	N/A <sup>1</sup>	Documentation (TAP; petition; regulatory agency; other)
1.	Is the comparative description provided as to why the non-organic form of the material /substance is necessary for use in organic handling?	Х			provided but not convincing.
2.	Does the current and historical industry information, research, or evidence provided explain how or why the material /substance cannot be obtained organically in the appropriate <b>form</b> to fulfill an essential function in a system of organic handling?			X	
3.	Does the current and historical industry information, research, or evidence provided explain how or why the material /substance cannot be obtained organically in the appropriate <b>quality</b> to fulfill an essential function in a system of organic handling?			X	
	Does the current and historical industry information, research, or evidence provided explain how or why the material /substance cannot be obtained organically in the appropriate <b>quantity</b> to fulfill an essential function in a system of organic handling?			X	
5.	Does the industry information provided on material / substance non-availability as organic, include (but not limited to) the following:  a. Regions of production (including factors such as			Х	

climate and number of regions);	
b. Number of suppliers and amount produced;	X
c. Current and historical supplies related to weather events such as hurricanes, floods, and droughts that may temporarily halt production or destroy crops or supplies;	X
d. Trade-related issues such as evidence of hoarding, war, trade barriers, or civil unrest that may temporarily restrict supplies; or	X
e. Are there other issues which may present a challenge to a consistent supply?	X

<sup>&</sup>lt;sup>1</sup>If the substance under review is for crops or livestock production, all of the questions from 205.600 (b) are N/A—not applicable.